

# **BEYOND 2015**

ASEAN-Japan Strategic Partnership for  
Democracy, Peace, and Prosperity in  
Southeast Asia

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# Preface

IN 2013, ASEAN and Japan celebrate a significant milestone, the 40th anniversary of the first bilateral forum on synthetic rubber in 1973, which marked the start of informal dialogue relations between the two sides. It is also the 10th anniversary of the Tokyo Declaration for the Dynamic and Enduring ASEAN-Japan Partnership in the New Millennium, signed by the heads of the 10 ASEAN member countries and Japan on December 12, 2003. While there is certainly reason to commend this strong and enduring relationship, a series of developments in the region over the past decade, including the rise of China and India, the “return” of the United States to the region, the establishment of the East Asia Summit in 2005, and the evolution of regional institutions such as the Asia Pacific Economic Cooperation (APEC) and the ASEAN Regional Forum (ARF), have made it necessary to reexamine and redefine the strategic value of ASEAN-Japan cooperation.

With the adoption of the 2011 Joint Declaration for Enhancing ASEAN-Japan Strategic Partnership and the ASEAN-Japan Plan of Action 2011–2015, ASEAN and Japan are in the position to build a strategic partnership that can benefit not only ASEAN and Japan but also the wider international community in the longer term. One of the key challenges facing ASEAN-Japan partnership, however, is finding ways to ensure the effective implementation of these cooperation agendas. In order to fully realize the potential of their partnership in the decades to come, all stakeholders of ASEAN-Japan relations need to work together to ensure that the Plan of Action can be implemented speedily and effectively. In this context, there is a need for greater input and contribution from Track 2 processes to facilitate, and become part of, the implementation of the cooperative agenda in the ASEAN-Japan strategic partnership.

These ideas were raised during informal consultations held in Jakarta and Tokyo in 2010 among the late Tadashi Yamamoto (then president of the Japan Center for International Exchange, or JCIE), Hitoshi Tanaka (chairman, Institute for International Strategy, Japan Research Institute; JCIE senior fellow), Surin Pitsuwan (then secretary-general of ASEAN), Jusuf Wanandi (co-founder and vice chairman, Centre for Strategic and

International Studies [CSIS], Indonesia), Takio Yamada (then Japan's ambassador to ASEAN), and ourselves. As a result, in mid-2012, with support from the Indonesian government and the Japan-ASEAN Integration Fund (JAIF), CSIS and JCIE launched a project to study the role and contributions of ASEAN-Japan Partnership in promoting regional community building in Southeast Asia and East Asia as a whole, as well as in contributing to global governance. This project aims to encourage further efforts to achieve greater ASEAN integration as well as to identify a vision for the ASEAN-Japan relationship as we move toward 2030.

This collaborative two-phase project, funded by the JAIF, is being carried out by three study groups in the first phase and two in the second phase, consisting of nearly three dozen experts from Japan and ASEAN countries. The first phase of the study explored Japan's roles in promoting the integration of ASEAN and the framework of ASEAN-Japan partnership in ASEAN, while the second phase will examine the ways in which ASEAN-Japan cooperation can contribute to the creation of an East Asian community and to addressing global issues. An initial preparatory meeting of the first phase of study was held in Bali, Indonesia, in September 2012, and a project workshop was organized in Tokyo in February 2013. In June 2013, the final first-phase meeting of the co-chairs was held in Jakarta along with a public forum to celebrate the 40th anniversary of ASEAN-Japan relations. Another public forum will be held in Tokyo in November 2013. A summary of the phase one findings and recommendations was published separately in September 2013.

We would like to take this opportunity to express our sincere gratitude to the project supervisors, Jusuf Wanandi and Hitoshi Tanaka, for their insight and guidance in this process; to the co-chairs, Tham Siew Yean (professor of economics, Universiti Kebangsaan Malaysia), Fukunari Kimura (professor of economics, Keio University), Carolina Hernandez (emeritus professor of political science, University of the Philippines), and Motoko Shuto (professor of international relations, University of Tsukuba), for their dedication to and leadership of the study groups; to the project managers, Clara Joewono (vice chair, CSIS, Indonesia) and Hideko Katsumata (executive director and COO, JCIE), for their very helpful input and for shepherding this project through its first phase so effectively; and to the invaluable contributions of the study group members. Thanks also to the members of CSIS and JCIE who have worked tirelessly to bring this project to fruition, including Lina Alexandra, Iis Gindarsah, Tomoko Suzuki, Ryo Sahashi, Kim Gould Ashizawa, Susan Hubbard, Kana Yoshioka, Maya Wedemeyer, and Kirsten Henning. We are also deeply grateful to the JAIF for its support of this project. And finally, we would be remiss if we did not acknowledge the key role

played in the formative stages of this project by Tadashi Yamamoto, a man whose behind-the-scenes efforts over the past decades were instrumental in solidifying the bond that exists today between ASEAN and Japan, and whose spirit guided us throughout this process. At the recommendation of Dr. Surin, it has been agreed that this project will be called the “ASEAN-Japan Yamamoto Study Project” in honor of his contributions.

On behalf of all those involved in this project, we sincerely hope that these findings will serve to inform the Japan-ASEAN Ministerial Meetings and ASEAN-Japan Commemorative Summit and help facilitate dialogue among relevant stakeholders—including policymakers, academics, opinion leaders, the media, and civil society—so that ASEAN-Japan cooperation will become stronger, more effective, and more productive in the decades to come.

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# Abbreviations

AADMER	ASEAN Agreement on Disaster Management and Emergency Response
ABF	Asian Bond Fund
ABF <sub>2</sub>	Asian Bond Fund 2
ABMI	Asian Bond Markets Initiative
ACCT	ASEAN Convention on Counter-Terrorism
ACMW	ASEAN Committee on the Implementation of the ASEAN Declaration on the Protection and Promotion of the Rights of Migrant Workers
ACTIP	ASEAN Convention on Trafficking in Persons
ACW	ASEAN Committee on Women
ACWC	ASEAN Commission on the Promotion and Protection of the Rights of Women and Children
ADB	Asian Development Bank
ADB I	Asian Development Bank Institute
ADHR	ASEAN Declaration on Human Rights
ADIC	ASEAN Defence Industry Collaboration
ADMM	ASEAN Defence Ministers Meeting
ADMM+8	ADMM Plus Eight
ADPC	Asian Disaster Preparedness Center
ADRC	Asian Disaster Reduction Center
AEC	ASEAN Economic Community
AEM	ASEAN economic ministers
AFTA	ASEAN Free Trade Area
AHA Centre	ASEAN Coordinating Centre for Humanitarian Assistance on disaster management
AHRDIP	Automotive Human Resource Development Institute Project
AICHR	ASEAN Intergovernmental Commission on Human Rights
AIF	ASEAN Infrastructure Fund
AJCEP	ASEAN-Japan Comprehensive Economic Partnership
AMEICC	AEM-METI Economic and Industrial Cooperation Committee
AMRO	ASEAN+3 Macroeconomic Research Office
AOTS	Association for Overseas Technical Scholarship
APEC	Asia Pacific Economic Cooperation
APSC	ASEAN Political-Security Community
ARF	ASEAN Regional Forum
ASC	ASEAN Security Community
ASCC	ASEAN Socio-cultural Community
ASEAN	Association of Southeast Asian Nations

ASEAN-6	original six ASEAN members
APT	ASEAN Plus Three
ARF	ASEAN Regional Forum
ARPDM	ASEAN Regional Programme on Disaster Management
ATM	ASEAN Transport Ministers Meeting
ATM+Japan	ASEAN-Japan Transport Ministers Meeting
AUN	ASEAN University Network
Bali Concord II	Declaration of ASEAN Concord II
Bali Declaration	Joint Declaration for Enhancing ASEAN-Japan Strategic Partnership for Prospering Together
BEBC	BIMP-EAGA Business Council
BIMP-EAGA	Brunei-Indonesia-Malaysia-Philippines East ASEAN Growth Area
CEPT	Common Effective Preferential Tariff
CLV	Cambodia, Laos, and Vietnam
CLMV	Cambodia, Laos, Myanmar, Vietnam
CMI	Chiang Mai Initiative
CMIM	Chiang Mai Initiative Multilateralization
CSCAP	Council for Security Cooperation in the Asia Pacific
CSO	civil society organization
CSR	corporate social responsibility
CTF-151	Combined Task Force 151
DiREx	Disaster Relief Exercises
DRR	disaster risk reduction
DSRV	Deep Submergence Rescue Vehicle
EAS	East Asia Summit
ECOSOC	Economic and Social Council
EEZ	exclusive economic zone
EPG	Eminent Persons Group
ERIA	Economic Research Institute for ASEAN and East Asia
F/M ratio	female-to-male ratio
FDI	foreign direct investment
FORUM-ASIA	Asian Forum for Human Rights and Development
FTA	free trade agreement
GAM	Gerakan Aceh Merdeka (Free Aceh Movement)
GDP	gross domestic product
GHI	Global Hunger Index
GMS	Greater Mekong Subregion
HACGA	Heads of Asian Coast Guard Agencies
HACGAM	HACGA Meeting
HADR	humanitarian assistance and disaster relief
HDI	Human Development Index
HRD	human resource development
IAI	Initiative for ASEAN Integration
ICC	International Coordination Committee

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ICESCR	International Covenant on Economic, Social and Cultural Rights
ICJ	International Court of Justice
ICT	information and communications technology
ILO	International Labour Organization
IMB	International Maritime Bureau
IMF	International Monetary Fund
IMO	International Maritime Organization
IMT-GT	Indonesia-Malaysia-Thailand Growth Triangle
IOM	International Organization for Migration
IPD	Institute for Peace and Democracy
IPR	international property right
ISO	International Organization for Standardization
ISPS Code	International Ship and Port Facility Security Code
IT	information technology
ITLOS	International Tribunal for the Law of the Sea
J-SEAM	Japan-Southeast Asian Meeting for South-South Cooperation
JAIF	Japan-ASEAN Integration Fund
JARCOM	JICA-ASEAN Regional Cooperation Meeting
JBIC	Japan Bank for International Cooperation
JETRO	Japan External Trade Organization
JICA	Japan International Cooperation Agency
JMSDF	Japan Maritime Self-Defense Force
JODC	Japan Overseas Development Corporation
JTEPA	Japan-Thailand Economic Partnership Agreement
LDP	Liberal Democratic Party
MAAS	Multilateral Agreement on Air Services
MAFLAFS	Multilateral Agreement on the Full Liberalization of Air Freight Services
MAFLPAS	Multilateral Agreement on the Full Liberalization of Passenger Air Services
MCH	maternal and child health
MDG	Millennium Development Goal
MEH	Marine Electronic Highway
METI	Ministry of Economy, Trade and Industry
MILF	Moro Islamic Liberation Front
MMEA	Malaysian Maritime Enforcement Agency
MNC	multinational corporation
MOFA	Ministry of Foreign Affairs
MOU	memorandum of understanding
MPAC	Master Plan on ASEAN Connectivity
MRA	mutual recognition agreement
MSP	Malacca Strait Patrols
MTR	midterm review

NGO	nongovernmental organization
NHRI	National Human Rights Institutions
NSM	new social movement
NSW	national single window
NTB	non-tariff barrier
NTM	non-tariff measure
NTP-PR	National Targeted Programme for Poverty Reduction
OECD	Organisation of Economic Co-operation and Development
OECF	Overseas Economic Cooperation Fund
ODA	official development assistance
OOF	other official flows
OTOP	one tambon one product
OVOP	one village one product
Paris Peace Conference	Conference on Peace in Cambodia
Paris Agreements	Agreements on a Comprehensive Political Settlement of the Cambodia Conflict
PAC	performance analysis controls
PKO	peacekeeping operation
PKO Act	Act on Cooperation for United Nations Peacekeeping Operations and Other Operations
PL	precautionary line
POA	Plan of Action
PPP	public-private partnership
R&D	research and development
R2I	responsibility to implement
RAPPS	ASEAN-Japan Regional Action Plan on Port Security
RCEP	Regional Comprehensive Economic Partnership
ReCAAP	Regional Cooperation Agreement on Combating Piracy and Armed Robbery against Ships in Asia
RFA	regional financial arrangement
RMSI	Regional Maritime Security Initiative
RMU	regional monetary unit
ROO	rules of origin
RoRo	roll on/roll off
SAR	search and rescue
SDF	Self-Defense Force
SEANWFZ	Southeast Asia Nuclear-Weapon-Free Zone
SEED-NET	Southeast Asia Engineering Education Network
SF	stability facility
SLOCs	sea lines of communication
SMCE	small and medium-sized cultural enterprises
SME	small and medium enterprise
SOM	Senior Officials Meeting
SOM-ED	ASEAN Senior Officials Meeting on Education

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SOMHD	ASEAN Senior Officials' Meeting on Health Development
SOMRPDE	ASEAN Senior Officials Meeting on Rural Development and Poverty Eradication
SSC	South-South cooperation
SSS	short sea shipping
STOM+Japan	ASEAN-Japan Senior Transport Officials Meeting
TCG	Tripartite Core Group
TCTP	Third Country Training Programme
TCTP Meeting	Regional Meeting for Mutual Consultation on the Third Country Training Programme
Tokyo Declaration	Tokyo Declaration for the Dynamic and Enduring Japan- ASEAN Partnership in the New Millennium
TPM	total productive maintenance
UFJ	US Forces Japan
UN	United Nations
UNCLOS	United Nations Convention on the Law of the Sea
UNDP	United Nations Development Programme
UNESCAP	United Nations Economic and Social Commission for Asia and the Pacific
UNESCO	UN Educational, Scientific and Cultural Organization
UNHRC	United Nations Human Rights Council
UNHRD	United Nations Humanitarian Response Depot
UNTAC	United Nations Transitional Authority in Cambodia
WTO	World Trade Organization